

| Risk Code & Title | Note and changes made during Quarter three of 2019-20 |
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| SR001 Failure to Maintain Focus on the Councils Core Business | Sign-off of accounts was added to the risk triggers |
| SR002 Lack of Capacity, Resources and Capability to Deliver the Corporate Strategy and Core Services | New 2020-2024 Corporate Strategy was agreed by Full Council in December 19. Expanded external grants to offer additional capacity in Economic Regeneration and Social Inclusion. Both added to current controls. |
| SR003 Failure to Ensure Partnership Working to Deliver Corporate Priorities both within and outside the Council | Increased engagement and active support to key CVS partners impacted by loss of CCC contracts and building working relationship with new contractors was added to required controls. 2020-2024 Corporate Strategy was added to current controls as it reiterates the councils commitment to partnership working to ensure delivery priorities |
| SR004 Failure to Prioritise and put attention on Vulnerability and Social Inclusion | 2020-2024 Corporate Strategy, the social inclusion checklist and OSC monitoring and challenge were added to current controls |
| SR005 Failure by the Council to Maintain an Effective Role within the Nuclear and Energy Sectors | Changes in leadership at Sellafield was added to contributing factors New integrated SNEB and strong Relationship with RWM (radioactive Waste Management Ltd) and first project resourced were added to required controls. |
| SR006a 'Failure of Copeland economy to fulfil its potential relating to new missions, Sellafield Transformation and | Risk title changed form 'Failure of Copeland economy to fulfil its potential relating to delays in key nuclear missions including Moorside, North West Coast Connections and Sellafield Transformation' Required additional controls added |

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| economic transformation | <ul style="list-style-type: none"> • New Nuclear prospectus • Copeland Vision • Allerdale collaboration • Strong relationship with Northern Powerhouse • Devolution growth deal opportunities |
| SR009 Failure to Ensure Effective and Statutory Information Governance | <p>Archive project underway. Review and revisions to records Retentions policy will inform this.</p> <p>DPO has completed training to become a certified DPO. One other member of staff is also taking training and this will increase resilience to the role. Risk score reviewed and impact score reduced from catastrophic to critical.</p> |
| SR010 Failure to Realise Income from Commercial and Trading Activity | <p>The inaugural meeting of the Commercial Engagement Group occurred in October, this will be reinforced further by monthly commercial updates being included in Copeland Chat.</p> <p>The communications strategy for commercial and Contract standing orders were added to internal controls.</p> <p>APSE provided Commercial Awareness training to Managers to key managers and staff to reinforce the commercial culture.</p> |
| SR012 Failure for the Council to prepare for the possibility of changes in Local Government governance arrangements. | <p>Government announcements and possible model imposition and weak relationships were added to risk triggers</p> <p>The following were added to internal controls</p> <ul style="list-style-type: none"> • MOU with Allerdale • Use of joint districts to develop strategy • Advice and support with regular interface with Northern Powerhouse |